
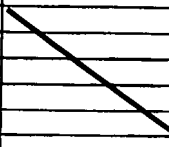




PTO/SB/08a/b (08-03)  
Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

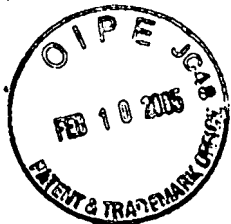
<b>Substitute for form 1449A/B/PTO</b>			<b>Complete If Known</b>		
			Application Number	10/711,704-Conf. #5703	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			Filing Date	September 30, 2004	
			First Named Inventor	Sean P. Selover	
			Art Unit	3731	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	101896-0283

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JLS/		US-5,569,248	10-29-1996	Hallett H. Mathews	
		US-5,171,279	12-15-1992	Hallett H. Mathews	
		US-5,954,635	09-21-1999	Foley et al.	
		US-5,728,097	03-17-1998	Mathews	
		US-6,793,656	09-21-2004	Hallett H. Mathews	
		US-6,033,406	03-07-2000	Hallett H. Mathews	
		US-6,159,179	12-12-2000	Simonson	
		US-6,530,929	03-11-2003	Jeff Justis	
		US-6,530,926	03-11-2003	Thomas Davison	
		US-6,520,907	02-18-2003	Kevin T. Foley	
		US-6,235,028	12-12-2000	David Brumfield	
		US-2004/0143265	07-22-2004	Michael Landry	
		US-2004/0138662	07-15-2004	Michael Landry	
		US 2004/0039384-A1	02-26-2004	Boehm, JR. et al.	
		US 2003/0208203-A1	11-06-2003	Lim et al.	
		US 2003/0199885-A1	10-23-2003	Davison et al.	
		US 2003/0195551-A1	10-16-2003	Davison et al.	
		US 2003/0195550-A1	10-16-2003	Davison et al.	
		US 2003/0195549-A1	10-16-2003	Davison et al.	
		US 2003/0083689-A1	05-01-2003	Simonson	
		US 2003/0083688-A1	05-01-2003	Simonson	
		US 2002/0198526-A1	12-26-2002	Shaolian et al.	
		US 2002/0123668-A1	09-05-2002	Ritland	
		US 2002/0082600-A1	06-27-2002	Shaolian et al.	
		US 2002/0068975-A1	06-06-2002	Teitelbaum et al.	
		US 2002/0049368-A1	04-25-2002	Ritland	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		DE-100 27 988.0	10-01-2002	Kosmala, Arkadiusz, Dr.		
		DE-29810798	05-21-2004	SCHAFER micomed GmbH		
		FR-2796545	07-22-1999	BACCELLI Christian		
		FR-2729291	01-12-1995	GENNARI Jean Marie		
		EP-1190678	03-27-2002	BONO, Frank Scott		
		WO-2004/04100	05-21-2004	LANDRY, Michael E.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

Examiner Signature	/James L. Swiger/	Date Considered	05/14/2007
--------------------	-------------------	-----------------	------------



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
				Application Number	10/711,704-Conf. #5703
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Filing Date	September 30, 2004
				First Named Inventor	Sean P. Selover
				Art Unit	3731
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	101896-0283

NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.
/JLS/		KEVIN T. FOLEY, M.D., CD HORIZON SEXTANT ROD INSERTION SYSTEM AND SURGICAL TECHNIQUE, pp. 1-30, Medtronic Sofamor Danek, Memphis, TN (July 2002).
/JLS/		STEPHEN L. RITLAND, M.D., SURGICAL TECHNIQUE: MICRO-TLIF "A MINI-OPEN AND INTERMUSCULAR TRANSFORAMINAL LUMBER INTERBODY FUSION," APERTURE SPINAL ACCESS SYSTEM, pp. 1-20, DePuy AcroMed, Inc., Raynham, MA (October 2002).
/JLS/		THE DILATION RETRACTOR SYSTEM, Bright Medical Instruments, Boca Raton, FL

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	5/14/07
-----------------------	--	--------------------	---------

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

### Title of Invention

METHODS AND DEVICES FOR MINIMALLY INVASIVE SPINAL  
FIXATION ELEMENT PLACEMENT

Application Number : 10/711704  
Confirmation Number: 5703  
First Named Applicant: Sean Selover  
Attorney Docket Number: 101896-0283  
Art Unit: N/A  
Examiner: Not Yet Assigned  
Search string: ( 6849064 ).pn



### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/JLS	AA*	6849064	2005-02-01	Hamada			

### Signature

Examiner Name	Date
/James L. Swiger/	05/14/2007

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

### Title of Invention

METHODS AND DEVICES FOR MINIMALLY INVASIVE SPINAL  
FIXATION ELEMENT PLACEMENT

Application Number : 10/711704  
Confirmation Number: 5703  
First Named Applicant: Sean Selover  
Attorney Docket Number: 101896-0283  
Art Unit: N/A  
Examiner: Not Yet Assigned  
Search string: ( 20050192579 or 20050192570 ).pn



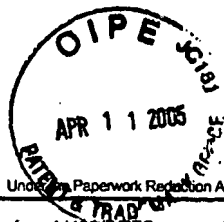
### US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/JLS/	AA*	20050192579	2005-09-01	Jackson	A1		
/JLS/	AB*	20050192570	2005-09-01	Jackson	A1		

### Signature

Examiner Name	Date
/James L. Swiger/	05/14/2007



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete If Known</b>		
				Application Number	10/711,704-Conf. #5703	
Sheet		1	of	1	Attorney Docket Number	101896-0283
					Filing Date	September 30, 2004
					First Named Inventor	Sean P. Selover
					Art Unit	3731
					Examiner Name	Not Yet Assigned

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JLS/		US-4,913,134	04-03-1990	Luque	
		US-6,485,491	11-26-2002	Farris et al.	
		US-5,984,923	11-16-1999	Breard	
		US-6,652,527-A1	11-25-2003	Zucherman et al.	
		US-20020138077-A1	10-12-2004	Ferree	
		US-20030083657-A1	08-31-2004	Drewry et al.	
		US-20020169448-A1	11-14-2002	Vanacker	
		US-20020116000-A1	11-25-2003	Zucherman et al.	
		US-6,554,831	04-29-2003	Rivard et al.	
		US-6,540,749-A1	04-01-2003	Schafer et al.	
/JLS/		US-5,242,446	09-07-1993	Steffee et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JLS/		DE-3434807	12-19-1985	ANAPLOTIS EMMANUEL	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/James L. Swiger/	Date Considered	05/14/2007
--------------------	-------------------	-----------------	------------